

# Central California Offshore Wind Projects

## Joint Native American Tribes Communications Plan

Prepared pursuant to BOEM Renewable Energy Lease Agreements  
OCS-P 0563, OCS-P 0564, and  
OCS-P 0565

August 6, 2024



**Revision Summary**

<b>Rev</b>	<b>Date</b>	<b>Prepared by</b>
0	11/28/2023	SEARCH, Tetra Tech, Worley, Rincon
1	1/26/2024	SEARCH, Tetra Tech, Worley
2	3/14/2024	SEARCH, Tetra Tech, Worley
3	4/8/2024	SEARCH, Tetra Tech, Worley
4	8/6/2024	Tetra Tech

**Description of Revisions**

<b>Rev</b>	<b>Page</b>	<b>Section</b>	<b>Description</b>
0	All	All	Initial draft of Joint NATCP
1	All	All	Updates to remove redundance and incorporate initial Tribal feedback as applicable received through January 25, 2024.
2 a/	All	All	Minor updates in response to BOEM comments received February 22, 2024; inclusion of Appendix A
3	A-2, A-6	App. A	Contact information and reference update in Appendix A per BOEM comment
4	iv 6 9 14	NA 4.2 5.2 8.0	Addition of the Pulikla Tribe of Yurok People (formerly Resighini Rancheria) to the communications list; Leaseholder contact information updates; update of the Atlas Wind BOEM Company # in Figure 1; updates to SAP references based on the Final Renewable Energy Modernization Rule

a/ Interim draft submitted to BOEM to resolve agency comments. This version was not sent to Tribes to reduce Tribal review burden. Edits are incorporated into subsequent versions.

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Appendix A Unanticipated Discovery Plan

## ACRONYMS AND ABBREVIATIONS

Acronym	Definition
Atlas Wind	Atlas Offshore Wind LLC, a subsidiary of Equinor Wind US LLC (Equinor)
BOEM	Bureau of Ocean Energy Management
CFR	Code of Federal Regulations
COP	Construction and Operations Plan
CRP	Conflict Resolution Procedure
EKW	Even Keel Wind
GSW	Golden State Wind LLC
Lessees	The three Bureau of Ocean Energy Management Central California Coast offshore wind leaseholders
NAGPRA	Native American Graves Protection and Repatriation Act
NAHC	California Native American Heritage Commission
Joint NATCP	Joint Native American Tribes Communications Plan
NEPA	National Environmental Policy Act
NHPA	National Historic Preservation Act
OCS	Outer Continental Shelf
O&M	Operations and Maintenance
QMA	Qualified Marine Archaeologist
ROD	Record of Decision
SAP	Site Assessment Plan
TES	Tribal Engagement Survey
TL	Tribal Liaison
Tribes	Native American Tribes and Tribal Nations

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**POINTS OF CONTACT**


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<b>Organization</b>	<b>Personnel</b>	<b>Position</b>	<b>Mobile Phone</b>	<b>Email</b>
Atlas Offshore Wind (Atlas Wind) <a href="https://atlaswind.com">https://atlaswind.com</a>	Joshua Standing Horse	Tribal Liaison	916-213-9146	<a href="mailto:jossta@equinor.com">jossta@equinor.com</a> <a href="mailto:jossta@equinor.com">mailto:</a>
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Golden State Wind (GSW) <a href="http://goldenstatewind.com/#doc">goldenstatewind.com/#doc</a>	Brian Williams	Tribal Liaison	760-212-6499	<a href="mailto:bwilliams@asmaffiliate.com">bwilliams@asmaffiliate.com</a>
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## 1.0 INTRODUCTION

The primary objective of the Joint Native American Tribes Communications Plan (Joint NATCP) is to develop a respectful and collaborative relationship between Atlas Offshore Wind LLC (Atlas Wind), Golden State Wind LLC (GSW), and Invenergy California Offshore LLC / Even Keel Wind (EKW) (Lessees) and Native American Tribes and Tribal Nations (Tribes) through the development of a mutually agreed upon process for communication and coordination. The Lessees recognize Tribes as the original and ongoing occupants of the lands and waters of Central California and acknowledge and respect the enduring cultural and personal connections that Tribes have to the region. The Lessees believe that Tribes can and should play an important role in the development of an offshore renewable energy industry that is environmentally, socially, and culturally sustainable, and that this can only be achieved through meaningful and respectful engagement with Tribes. This engagement is critical for creating a dialogue between the Lessees and Tribes to address Tribal concerns throughout the life of the projects.

As part of NATCP development, the Lessees were directed by the California Native American Heritage Commission (NAHC) and the Bureau of Ocean Energy Management (BOEM) to engage with Tribes they identified as having historical, cultural, and/or ancestral ties to Central California and/or Fisheries Treaty Rights. Lessees met with Tribes to discuss their consultation policies and preferences and received feedback through email, telephone conversations, and in person meetings between September 2023 and January 2024. The participating Tribes provided valuable feedback on their preferred methods of engagement and frequency of meetings with Lessees; they also voiced concerns about limited capacity to support reviews and attend multiple meetings, as well as potential impacts of offshore wind projects. Several Tribal representatives requested that the Lessees develop and implement a Joint NATCP to streamline communications and reduce the engagement burden on Tribes. A summary of points of contacts, concerns raised, and communication preferences was shared with each participating Tribe with the submittal of the draft NATCP for their review and confirmation.

In response to feedback from the Tribes, the Lessees have developed this Joint NATCP to provide a framework for their joint and individual engagement with Tribes throughout the development of their respective offshore wind projects. Ongoing engagement and feedback from Tribes throughout the life of the projects will be integrated into the overall Joint NATCP, which is considered a “living document” that will continue to be revised, as necessary, throughout the life of the projects. The Lessees recognize that acceptance of the engagement principles and this NATCP does not indicate Tribal support for these projects.

This Joint NATCP meets the requirement of the leases executed between BOEM and the Lessees (Sections 3.1.2 and 3.1.4 of Addendum “C”) which requires the Lessees to:

- work with the NAHC to identify Tribes that have cultural and/or historical ties to the lease area;
- develop a publicly available NATCP that outlines a process for regular communication between the Lessee and Tribes;
- invite those Tribes to participate in development of the NATCP; and
- reduce the engagement, communication, and consultation burden on Tribes.

**NOTE:** It is important to note and emphasize that the NATCP is not, and does not attempt to replace, formal government-to-government consultation, consultation under Section 106 of the National Historic Preservation Act of 1966 (NHPA) and California Assembly Bill 52. Formal Tribal consultation with federally recognized tribes will be conducted by BOEM (or other federal entities as delegated) prior to authorization of activities

under their jurisdiction. California State Lands Commission will also conduct formal Tribal consultation with California Native American Tribes prior to authorization of activities in state waters and coastal environment. The NATCP represents the Lessees commitment to engage with Tribes (federally recognized Tribes and California Native American Tribes) to share information, build understanding, and create effective avenues of communication in addition to formal Tribal consultation that may also be required.

## 2.0 LESSEE COMMUNICATIONS AND ENGAGEMENT GOALS AND PRINCIPLES

The purpose of the Joint NATCP is to ensure effective engagement through early and active information sharing, focused discussions about potential issues, and collaborative identification of solutions to ensure that each Tribe has a meaningful role in providing input to the Lessees before they make decisions that may impact wildlife, cultural, economic, environmental, and/or other resources important to them. The Lessees will strive to be good communicators by basing this Joint NATCP on the guiding principles of early and often, open and transparent, inclusive, collaborative, accessible, equitable, flexible and sustained, safe, and coordinated engagement.

The Lessees aim to create respectful partnerships and communication networks with each Tribe; to learn about and incorporate community issues, traditional knowledge, and cultural concerns and tribal learnings and research into the decision-making process; to acknowledge the challenges that each Tribe faces through the burden of engagement; and to accommodate preferences by offering various methods of and opportunities for communication.

## 3.0 IDENTIFICATION OF TRIBES

The Lessees were provided lists of Tribes by the NAHC that identified Tribes with cultural and/or historical ties to the Central California lease areas and adjacent onshore areas, and those Tribes that have requested to be informed of projects within the geographical region. Based on information provided by the NAHC and BOEM, the Lessees engaged with the Tribes listed in **Table 1** to develop and/or update the Joint NATCP.

**Table 1 Joint NATCP-Invited Participants**

	Tribes
Barbareño/Ventureño Band of Mission Indians	Quinault Indian Nation
Chumash Council of Bakersfield	Salinan Tribe of Monterey, San Luis Obispo Counties
Coastal Band of the Chumash Nation	San Luis Obispo County Chumash Council
Hoh Tribe	Santa Ynez Band of Chumash Indians
Makah Indian Tribe of the Makah Indian Reservation	Tule River Indian Tribe
Northern Chumash Tribal Council	Xolon-Salinan Tribe
Quileute Tribe of the Quileute Reservation	yak titʷu titʷu yak tilhini - Northern Chumash Tribe
Santa Rosa Rancheria Tachi Yokut Tribe	Pulikla Tribe of Yurok People (formerly Resighini Rancheria)

A Tribal Engagement Survey (TES) was sent by GSW to the Tribes in **Table 1**, inviting them to participate in development of the NATCP. The TES included questions about the preferred types of engagement activities, the frequency of engagement activities/meetings, and preferred meeting formats (in-person, virtual, etc.). The Lessees have developed the joint engagement protocols outlined in the following sections based on the results of the TES and input from emails, phone calls, and meetings. Semi-annual progress reports submitted by the

Lessees will include a list of Tribes who have not/do not participate in engagement, for BOEM's awareness. Other suggestions for reporting this information to BOEM are also welcome and can be discussed.

The Lessees recognize that not all Tribes have participated in engagement to date, and the Lessees hold the position that lack of engagement from Tribes does not mean that the Tribes have explicitly declined to participate in the Joint NATCP. As a result, the Lessees are committed to maintaining an open engagement policy and welcome any of the invited Tribes and/or Tribal Representatives to participate in and contribute to the Joint NATCP at any stage of project development.

## **4.0 PROJECT INFORMATION**

On December 6-7, 2022, BOEM held an offshore wind energy lease sale for areas on the Outer Continental Shelf (OCS) off Central and Northern California. The Central California leases (OCS-P 0563, OCS-P 0564, and OCS-P 0565) were awarded to Equinor Wind US LLC (OCS-P 0563) (the project is named Atlas Wind), Golden State Wind LLC (OCS-P 0564)<sup>1</sup> (the project is named Golden State Wind) and Invenergy California Offshore LLC (OCS-P 0565) (the project is named Even Keel Wind) (**Figure 1**).

### **4.1 Lessee Tribal Liaisons**

Each Lessee has designated a Tribal Liaison (TL) who will serve as the single point of contact responsible for communicating a wide range of information to the Tribes from their respective Lessee project teams and providing feedback from the Tribes to the Lessee to inform their decision making. TLs will have the expertise and capacity to meaningfully and comprehensively facilitate Tribal involvement, communications, and information sharing as needed during the various stages of project development, operation, and decommissioning. The TL will also manage potential engagement fatigue by reducing the number of emails, calls, etc. necessary for Tribes to have questions answered or request information. The TLs for the three Lessees will coordinate joint communication to the greatest extent practical, to further facilitate streamlined communication between Lessees and Tribes. Contact information for the designated TLs is available on the first page of this Joint NATCP.

### **4.2 Key Project Phases**

The Joint NATCP outlines key steps to be undertaken by the Lessees to facilitate Tribal engagement activities throughout all phases of project implementation, including Site Assessment and Characterization, Construction and Operations Plan (COP) Development, Environmental and Technical Review, Construction, Operations and Maintenance (O&M), and Decommissioning (**Table 2**). The Joint NATCP is considered a "living document" that will continue to be revised, as necessary, throughout the life of the Lessees' projects.

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<sup>1</sup> Name changed after lease issuance from Central California Offshore Wind LLC.



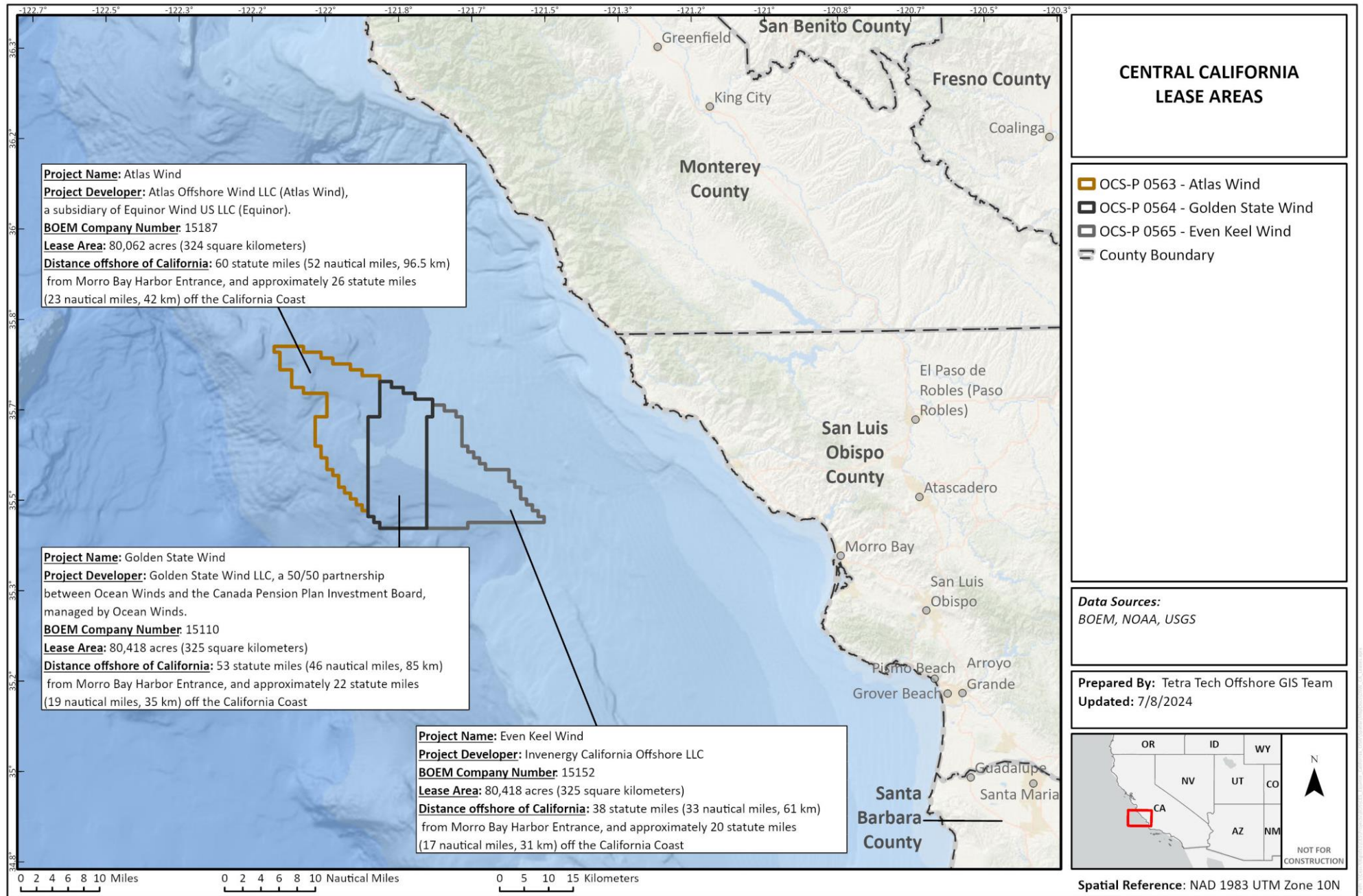


Figure 1 Central California Offshore Wind Lease Areas

**Table 2 Description of Project Phases**

Phase	Sub-Phase	Description
Pre-Construction	Site Assessment and Characterization	<p>The Lessees conduct geophysical (side scan sonar, sub bottom profiler, etc.) and geotechnical (coring) survey campaigns to support project engineering as well as environmental, biological, socio-economic, and cultural resources studies. Lessees may also submit a site assessment plan (SAP) to BOEM for met towers or novel anchoring technologies,<sup>2</sup> and/or a Nationwide Permit to USACE to install buoys within the lease areas (and along potential offshore export cable route corridors) to collect meteorological and oceanographic information to support site assessment. During this phase, Lessees will develop and share survey plans with Tribes to receive feedback and recommendations on content and structure of each survey plan as well as data sharing.</p>
	COP Development	<p>The Lessees review information collected during the Site Assessment and Characterization Phase to develop the Project’s COP. Each Lessee’s individual COP will describe how the Lessee intends to construct and operate a commercial wind project in their respective lease areas and includes a description of all planned facilities, proposed construction activities, commercial operations, and conceptual decommissioning plans. The COP also summarizes results of the biological, geotechnical, socioeconomic, and cultural resources studies; provides an assessment of the Project’s potential impacts; and proposes measures for avoiding, minimizing, reducing, eliminating, mitigating, and monitoring impacts.</p>
	Environmental and Technical Review	<p>This Phase will begin when BOEM issues a Notice of Intent to prepare an Environmental Impact Statement to conduct its National Environmental Policy Act (NEPA) environmental and technical reviews for each individual project COP. BOEM will also initiate the National Historic Preservation Act (NHPA) Section 106 review of each COP during this phase. At the conclusion of NEPA review period, BOEM will decide whether to approve, approve with modifications, or disapprove the COP. BOEM’s decision will be presented in a NEPA Record of Decision (ROD). The completion of BOEM’s NHPA Section 106 review with a Memorandum of Agreement details how potential adverse effects to historic properties will be resolved.</p>
Construction		<p>The Lessees will construct the proposed offshore wind farms within the Lease Areas. Construction activities will be aligned with the requirements and conditions of each NEPA ROD; NHPA Section 106 Memorandum of Agreement; California Environmental Quality Act lead agency Mitigation Monitoring Reporting Program, which is developed with information received throughout the Environmental Impact Report process and AB 52 Tribal Consultation; and relevant state/local permit conditions of approval.</p>
Operation and Maintenance		<p>During the Operations and Maintenance (O&amp;M) Phase, the Lessees will operate and perform routine maintenance on their offshore wind farms within the lease areas.</p>
Decommissioning		<p>At the end of the project life-cycle, the Lessees will each submit a decommissioning application to BOEM. BOEM will then review and determine whether to approve, disapprove, or approve with modifications the decommissioning application. Once approved, the Lessee would decommission and remove its project components.</p>

<sup>2</sup> Under the Final Renewable Energy Modernization Rule (Vol. 89, No. 95; Docket ID No. BOEM-2023-0005), a SAP is no longer required to install buoys within the lease areas to collect meteorological information.

## 5.0 COMMUNICATION AND ENGAGEMENT STRATEGIES AND TOOLS

The following approach to Tribal engagement has been developed in coordination with participating Tribes. Specifically, regular joint lessee project status meetings, individual lessee milestone meetings, and a centralized information repository were requested by Tribes. The Lessees requested that each Tribe designate a Tribal Representative to serve as the Tribe's primary point of contact for communicating with the Lessee. The NATCP includes input from Tribal Representatives (as designated), who have confirmed their preferred communication protocols and other requirements specific to each Tribe.

### 5.1 Types of Engagement Activities

The TES included a question about holding joint meetings between participating Tribes and the preferred meeting formats (in-person, virtual, etc.). The Lessees recognize that Tribes have different internal policies regarding joint meetings and will continue to identify individual preferences. Joint Tribal engagement opportunities will be offered to all participating Tribes, even if that Tribe has historically declined such events, so that Tribes can make decisions for involvement on a case-by-case basis.

In consideration of feedback from Tribes, the Lessees will host both joint and individual meetings. The feedback from most of the Tribes was that virtual meetings were the preferred format as a general rule, although in-person meetings in shared workspaces (e.g., Cal Poly HotHouse) and public spaces (e.g., libraries) were also indicated by some Tribes as appropriate, where feasible. In advance of scheduling meetings, the Lessees will request the preferred meeting format (virtual or in-person) and accommodate the requests accordingly. In the interest of transparency and open communication, the information shared by Lessees during both types of meetings will be the same. The Lessees will also share the same electronic newsletters/email updates and website updates with all Tribes participating in the Joint NATCP, which will include links or attachments to referenced materials to ensure comprehensive understanding of materials.

### 5.2 Engagement Strategies and Frequency

The Lessees will engage with Tribes through the various types of engagement activities summarized in **Table 3**.

**Table 3 Methods of Engagement**

Methods of Engagement	Description
Joint Lessee Meetings with Tribes	The Lessees will host joint, virtual, face-to-face, or hybrid virtual and face-to-face meetings open to all interested Tribes and attended by all three Lessees. During the meeting, Lessees will present anticipated activities and opportunities for Tribal engagement/participation; provide progress updates for each project; and provide an opportunity for questions from Tribes and for the Lessees to listen and respond to Tribal concerns. Agendas for individual meetings will be developed in consultation with Tribes in advance of the meeting.
Meetings with Individual Tribes	The Lessees will host virtual, face-to-face, or hybrid virtual and face-to-face meetings with individual Tribes. These meetings will be attended by all three Lessees as a group or individual lessees, depending on the wishes of the Tribe, to discuss individual Tribal concerns, requests, recommendations, etc. Agendas for individual meetings will be developed in consultation with Tribes in advance of the meeting.
Electronic Newsletter/Email Update	Lessees will periodically prepare and send out a project specific newsletter or email update to Tribes to provide them with regular updates on the status of their projects, major developments, regulatory activities, and information/updates on topics important to the Tribes. If requested, updates can be provided by mail rather than electronically.

Methods of Engagement	Description
Website Updates	Lessees will regularly update their respective project websites with information and news about their projects. Lessees will inform Tribes of website updates via the regular Electronic Newsletter/Email Updates referenced above.

The Lessees will engage with Tribes throughout the development of their respective projects through a combination of joint Lessee meetings with Tribes, meetings with individual Tribes, electronic newsletter and email updates, and updates to project websites (**Table 4**). The Lessees recognize that requests to participate in multiple engagement activities places an administrative burden on Tribes, and while the Lessees want to promote meaningful communication, coordination, and collaboration, they do not want engagement to be overly burdensome. The Lessees also recognize that various phases in the project development process will require different levels of engagement with Tribes with fewer engagement activities during periods of relatively low activity and more engagement during periods of increased activity or when the Lessees are making important project decisions. As a result, the types and frequency of engagement activities in **Table 4** have been tailored to specific needs of each phase of Project development. Updates to project documents and plans, or changes, concerns, findings, or problems found during all phases of the Projects, will be relayed to Tribes as they occur, regardless of planned engagement frequency.

**Table 4 Frequency of Joint NATCP Engagement Activities**

Project Phases	Joint Lessee Meetings with Tribes a/	Electronic Newsletter/Email Update	Website Updates
Pre-Construction	Quarterly	Quarterly	Monthly
Construction	Biannually b/	Biannually	Quarterly
Operation and Maintenance	Annually	Annually	Quarterly
Decommissioning	Annually	Annually	Quarterly

Notes:

a/ Lessees have received unanimous feedback that Tribes prefer to meet with Lessees together, but mixed feedback on preference for meeting with other Tribes. TLs will continue to communicate with Tribes to understand preference and those that wish to privately communicate with the Lessees are encouraged to contact any of the three projects TLs.

b/ Twice per year.

In addition to the regular meetings presented in **Table 4**, Lessees will hold joint or individual meetings with Tribes at major project milestones or to provide Tribes with information and solicit feedback/recommendations on specific topics (**Table 5**).

**Table 5 Major Project Milestone/Key Event Meetings**

<b>Major Project Milestone/Key Event</b>	<b>Project Phase</b>	<b>Description</b>
Pre-Survey Meetings	Pre-Construction	Individual Lessees will meet with participating Tribes at least 30 calendar days prior to commencement of offshore survey activities to present survey plans related to data collection (e.g., geophysical and geotechnical data). The pre-survey meeting will provide an opportunity for the Lessee and the Lessee's Qualified Marine Archaeologist to discuss the Lessee's Survey Plan with Tribes and to answer questions, listen to recommendations, and/or consider requests from Tribes. The Lessee will send an invitation letter through certified mail to Tribes identified in this plan at least 15 calendar days prior to the proposed meeting date.
SAP Meeting	Pre-Construction	Upon undertaking the development of a SAP, <sup>3</sup> if necessary, each Lessee, when appropriate, will invite the Tribes to a meeting to discuss the planned activities associated with a SAP and obtain their feedback on issues of concern that can be addressed prior to developing a SAP.
COP Study Results	Pre-Construction	Individual Lessees will meet with individual or groups of participating Tribes to share the results of environmental, biological, socio-economic, and cultural resources studies conducted in support of the COP and discuss the development of measures to avoid, minimize, and/or mitigate impacts to resources important to the Tribes.
Project Design Envelope Development	Pre-Construction	Individual Lessees will meet with individual or groups of participating Tribes to discuss and solicit input on the project design envelope that will be presented in the COP. Topics to be discussed could include wind turbine generator locations, inter-array cable layout, export cable and onshore cable corridor routing, location of onshore facilities, etc.
Pre-Construction Meeting	Construction	Prior to the start of project construction, individual Lessees will meet with individual or groups of participating Tribes to discuss implementation of measures to avoid, minimize, and/or mitigate impacts to environmental, socio-economic, and cultural resources important to Tribes.
Decommissioning Plan Meeting	Decommissioning	Individual Lessees will meet with individual or groups of participating Tribes to develop a decommissioning plan that incorporates and addresses, to the greatest extent feasible, Tribal concerns about, wildlife, environmental, social, and cultural resources impacts from project decommissioning.

**Figure 2** provides a project phase-by-phase timeline for regular and key events/milestone engagement activities in the NATCP.

<sup>3</sup> Under the Final Renewable Energy Modernization Rule (Vol. 89, No. 95; Docket ID No. BOEM-2023-0005), a SAP is no longer required to install buoys within the lease areas to collect meteorological information.

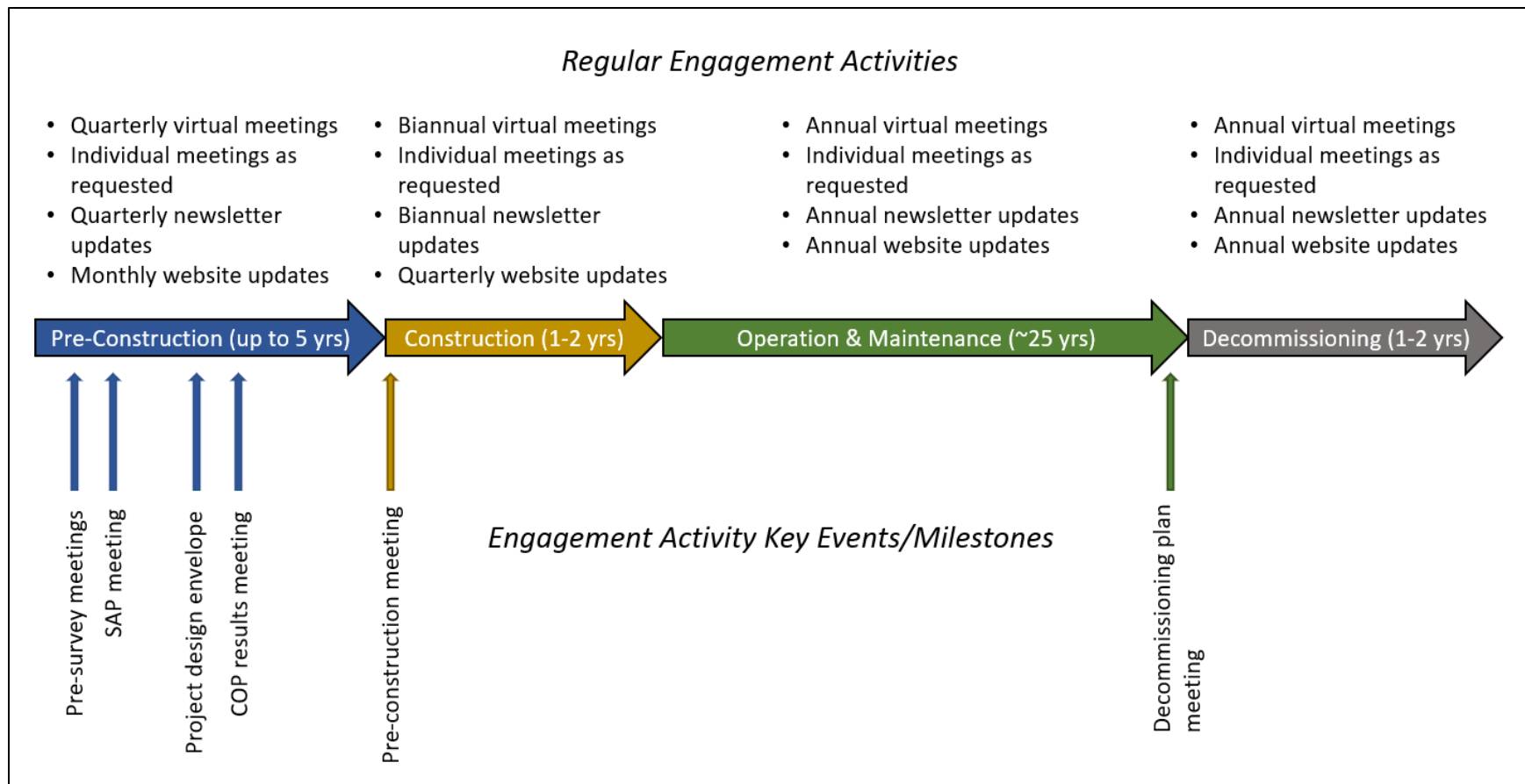


Figure 2 Timeline of Regular and Key Event/Milestone Engagement Activities

## 6.0 INFORMATION AND DATA SHARING

The Lessees are committed to developing meaningful and collaborative relationships with Tribes based on mutual respect and trust. To promote mutual respect and trust, the Lessees are committed to keeping any sensitive information shared by Tribes during engagement activities confidential. The Lessees will not share comments, feedback, statements, descriptions of cultural practices or beliefs, recommendations, or concerns shared by Tribes during Joint NATCP activities without the written consent, in a letter or email, of the Tribe that provided the information.

Throughout the implementation of the Joint NATCP, information shared by the Lessees with Tribes may include, but not be limited to, the following:

- Geophysical and geotechnical survey plans and survey results;
- Ocean Eco-System Surveys;
- Final environmental, socio-economic, and cultural resource reports generated by the Lessees and/or their consultants and subcontractors;
- Locational information for environmental and socio-economic resources identified during the project investigations in ArcGIS-compatible file formats (shapefiles, geodatabases, .KMZ files, etc.);
- PowerPoint or other presentation materials summarizing the results of surveys/investigations conducted as part of site characterization, routing studies, and/or COP supporting studies;
- Information on project design/layouts under consideration such as, but not limited to:
  - Potential onshore and offshore export cable and transmission routes;
  - Substation and point of interconnection locations;
  - Landfall sites;
  - Inter-array cable layouts; and/or
  - Wind turbine generator and offshore substation locations/layouts; and
- Project monitoring data and reports generated to satisfy BOEM requirements for National Environmental Policy Act and NHPA environmental, social, and cultural resource commitments, mitigation, and monitoring requirements.

Electronic versions of these datasets, reports, and presentation materials will be emailed to the Tribes when they become available and/or in advance of virtual or face-to-face meetings as appropriate. Leaseholders are considering the development of a centralized location (e.g., website) to share information not specific to an individual lease. If a Tribe prefers or requires printed hard copies of reports and other documents, the Lessees will provide them upon request. Each identified Tribal Representative(s) will be responsible for providing the electronic data, reports, and presentations materials to their respective groups as they see fit or based on criteria established by their government/leadership.

The Lessees welcome any input and data that a Tribe is willing to share. If a Tribe wishes to share sensitive information or data not suitable for public disclosure, the Lessees will work with the Tribe to ensure proper protections are in place prior to the disclosure. With the Tribe's input, the sensitive information will be classified as restricted or confidential, in conformance with the Lessees' classification systems. Such measures could

include labeling conventions for confidential documents, as well as internal secure and encrypted electronic filing systems that only Lessees' authorized personnel and Tribal Liaisons can access.

The Unanticipated Discovery Clauses within Section 7.5.2 through 7.5.2.5 of Addendum "C" of Lease OCS-P 0563, OCS-P 0564, and OCS-P 0565 require the Lessees to comply with a series of requirements to protect archaeological resources and human remains. Under Section 7.5 of the Leases, the Lessee(s) may only conduct geotechnical exploration activities performed in support of plan (i.e., Site Assessment Plan [SAP] and/or COP) submittal in locations where an analysis of the results of geophysical surveys has been completed. This analysis must include a determination by a Qualified Marine Archaeologist (QMA) as to whether any potential archaeological resources are present in the area. The QMA must certify, in the Lessees' archaeological reports, that geotechnical exploration activities will not impact potential historic properties identified as a result of the high-resolution geophysical surveys performed in support of plan submittal. The SAP, COP, and related investigation and construction activities will require the development of an Unanticipated Discovery Plan by the QMA. The Unanticipated Discovery Plan will be developed with participation and input from the Tribes to guide the development of respectful procedures and will be required before activities with a potential to discover resources are undertaken.

## 7.0 CONFLICT RESOLUTION

One of the principal objectives of this Joint NATCP is to build a strong relationship with Tribes based on transparency, trust, and mutual respect. Lessees do, however, recognize that Tribes may identify conflicts associated with the development of the Lease Areas that aren't resolved to their full satisfaction. As a result, this Joint NATCP includes a Conflict Resolution Procedure (CRP) to allow Tribes to raise questions or concerns with the Lessees and have them addressed promptly and respectfully.<sup>4</sup> Each individual Lessee will be responsible for implementing their own CRP. The goal of a CRP is to address complaints received from a Tribe. Any Tribe considering itself to be affected by project activities will have access to the CRP. Participation in this process will not diminish the rights or remedies of the Complainant under any applicable law or regulation nor shall it substitute for participation in any permitting processes that apply to a given project. The CRP will follow steps for receiving, investigating, and resolving complaints submitted by any Tribe. Individual protocols for submission, acknowledgement, and resolution of complaints will be created for the specific project plans to account for the needs and timeframes of the particular project activities. Generally, each individual Lessee CRP will follow the steps outlined below.

**Receive:** Tribe(s) submit complaint to one or more of the Lessees, preferably to the Tribal Liaison.

**Acknowledge:** Lessee(s) acknowledge the complaint within 24 hours and provide a point of contact (e.g., the Tribal Liaison) so that the Tribe(s) can check on progress.

**Investigate:** Lessee(s) investigate complaint and meet with Tribe(s) as needed during investigation.

**Response:** The Lessee(s) propose a resolution for the complaint or provides a status update on the investigation.

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<sup>4</sup> The Conflict Resolution Procedure is based on guidance published by the Ipieca, the global oil and gas association dedicated to advancing environmental and social performance across the energy transition. The guidance documents used to develop this Conflict Resolution Procedure can be reviewed at <https://www.ipieca.org/resources/good-practice/community-grievance-mechanisms-in-the-oil-and-gas-industry/>.



**Resolution:** The Lessee(s) will work with the Tribe(s) to reach a mutually agreeable resolution to the complaint and document the resolution in an agreement document. The Lessee(s) will close out the complaint under one of the following categories:

- Resolved. Complaints where a resolution has been agreed upon and implemented.
- Unresolved. Complaints where it has not been possible to reach an agreed upon resolution.
- Abandoned. Complaints where the individual or group that issued the complaint is not contactable or does not respond to outreach after one month following receipt of a complaint and efforts to trace their whereabouts have been unsuccessful.

BOEM or other federal and state agencies will participate in meetings addressing complaints or resolving grievances and help resolve any complaints or grievances that fall within their respective jurisdictions as Lessees alone often lack the authority to implement specific measures with federal regulatory authorization.

## **8.0 INDICATORS OF SUCCESS**

Indicators of Success are a set of quantifiable measurements or metrics used to gauge overall, long-term performance in implementing a plan or program. In the context of this Joint NATCP, Indicators of Success will be used to track performance of Lessees in conducting/completing the engagement activities described in the Joint NATCP and documenting the outcomes and improvements from each engagement activity. For each phase of the projects, Lessees will track the number of engagement activities/actions completed, issues resolved, and significant outcomes and improvements from each engagement. A template tracking table is provided as **Table 6** below, showing high-level examples of engagement activities.

**Table 6 Indicators of Success Tracking Template**

Engagement Activities a/	Date Completed	Meeting Summary (Topics Discussed/ Concerns Raised/Recommendations Made)	Outcomes and Improvements Resulting from Engagement
<b>Preconstruction Phase</b>			
Joint Lessee Meetings with Tribes			
Electronic Newsletter/Email Update			
Website Updates			
Pre-Survey Meetings			
SAP Meeting (if necessary)			
COP Study Results			
Project Design Envelope Development Meeting			
<b>Construction Phase</b>			
Joint Lessee Meetings with Tribes			
Electronic Newsletter/Email Update			
Website Updates			
Pre-Construction Meeting			
<b>Operations and Maintenance Phase</b>			
Joint Lessee Meetings with Tribes			
Electronic Newsletter/Email Update			
Website Updates			
<b>Decommissioning Phase</b>			
Joint Lessee Meetings with Tribes			
Electronic Newsletter/Email Update			
Website Updates			
Decommissioning Plan Meeting			
Project Updates			

Note:

a/ Engagement activities currently shown are high level examples and will be updated as engagement progresses.

# **Appendix A      Unanticipated Discovery Plan**

## A.1 Introduction

The Unanticipated Discovery Clauses within Section 7.5.2 through 7.5.2.5 of Addendum “C” of Lease OCS-P 0563, OCS-P 0564, and OCS-P 0565 require the Lessees to comply with a series of requirements to protect archaeological resources and human remains. These requirements are also stated at 30 Code of Federal Regulations (CFR) § 585.702 and within the *Programmatic Agreement Among BOEM, the State Historic Preservation Officer of California, and the Advisory Council on Historic Preservation Regarding Review of OCS Renewable Energy Activities Offshore California Under Section 106 of the NHPA*, with Santa Ynez Band of Chumash Indians as an invited signatory.

Under Section 7.5 of the Leases, the Lessee(s) may only conduct geotechnical exploration activities performed in support of plan (i.e., SAP and/or COP) submittal in locations where an analysis of the results of geophysical surveys has been completed. This analysis must include a determination by a Qualified Marine Archaeologist (QMA) as to whether any potential archaeological resources are present in the area. The QMA must certify, in the Lessees’ archaeological reports, that geotechnical exploration activities will not impact potential historic properties identified as a result of the high-resolution geophysical surveys performed in support of plan submittal.

As stated in BOEM’s “Guidelines for Providing Archaeological and Historic Property Information Pursuant to 30 CFR Part 585”, dated May 27, 2020, a QMA meets the Secretary of the Interior’s Professional Qualifications Standards (48 FR 44738- 44739) and has experience in conducting high-resolution geophysical surveys and processing and interpreting the resulting data for archaeological potential.<sup>5</sup>

The guidelines and procedures laid out in this Unanticipated Discovery Plan may be adapted or amended to include potential discoveries made within state and local jurisdictions. Alternatively, the Lessees may develop a separate, detailed discovery plan as part of future permit applications with those agencies. Tribes will be provided an opportunity to participate in geophysical surveys, other cultural resource studies conducted by the QMA, and development of treatment plans and other protocols as laid out in the survey plans prepared with Tribal participation and approved by BOEM in advance of all bottom-disturbing activities.

## A.2 Unanticipated Discovery Plan

If the Lessee(s), while conducting geotechnical exploration or any other bottom-disturbing site characterization activities in support of plan (i.e., SAP and COP) submittal and after review of the location by a QMA under Section 7.5.2, discover(s) an unanticipated potential archaeological resource, such as the presence of a shipwreck (e.g., a sonar image or visual confirmation of an iron, steel, or wooden hull; wooden timbers; anchors; concentrations of historic objects; piles of ballast rock) or evidence of an archaeological site (e.g., stone tools or other artifacts) within the project area, the Lessee(s) must:

1. Immediately halt seafloor/bottom-disturbing activities within the area of discovery by a minimum of 50 meters (164 feet), and the avoidance distance must be calculated from the maximum discernible extent of the archaeological resource;
2. Notify the Lessor within 24 hours of discovery; provide a preliminary report in writing to the Lessor within 72 hours of its discovery;

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<sup>5</sup> <https://www.boem.gov/sites/default/files/documents/about-boem/Archaeology%20and%20Historic%20Property%20Guidelines.pdf>

3. Keep the location of the discovery confidential and take no action that may adversely impact the archaeological resource until the Lessor has made an evaluation and instructs the applicant on how to proceed;
4. If 1) the resource has been impacted by the Lessee's project activities; or 2) impacts to the resource or to the area of potential effect cannot be avoided, Lessee(s) will conduct additional investigations, as directed by the Lessor, to determine if the resource is eligible for listing in the National Register of Historic Places (30 CFR § 585.802(b)); and
5. If investigations indicate that the resource is potentially eligible for listing in the National Register of Historic Places, the Lessor will inform the Lessee(s) how to protect the resource or how to mitigate adverse effects to the site.

If the Lessor incurs costs in protecting the resource, then, under Section 110(g) of the National Historic Preservation Act, the Lessor may charge the Lessee(s) reasonable costs for carrying out preservation responsibilities under the OCS Lands Act (30 CFR § 585.802(c-d)). **Figure A-1** and **Figure A-2** contain flowcharts of the Unanticipated Discovery Plan for cultural resources and human remains.

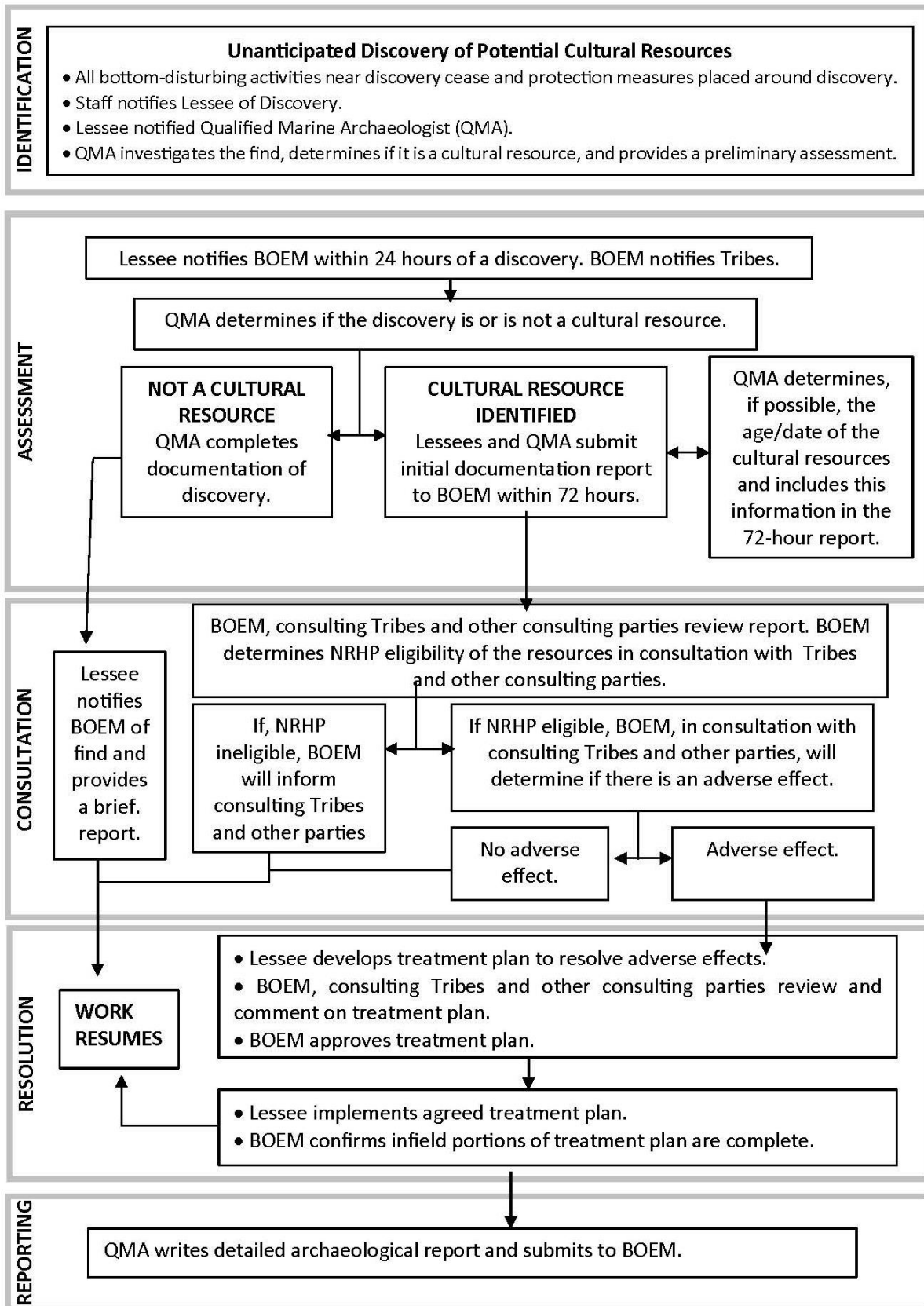


Figure A-1 Unanticipated Discovery Clause 7.5.2 Flowchart for Potential Cultural Resources

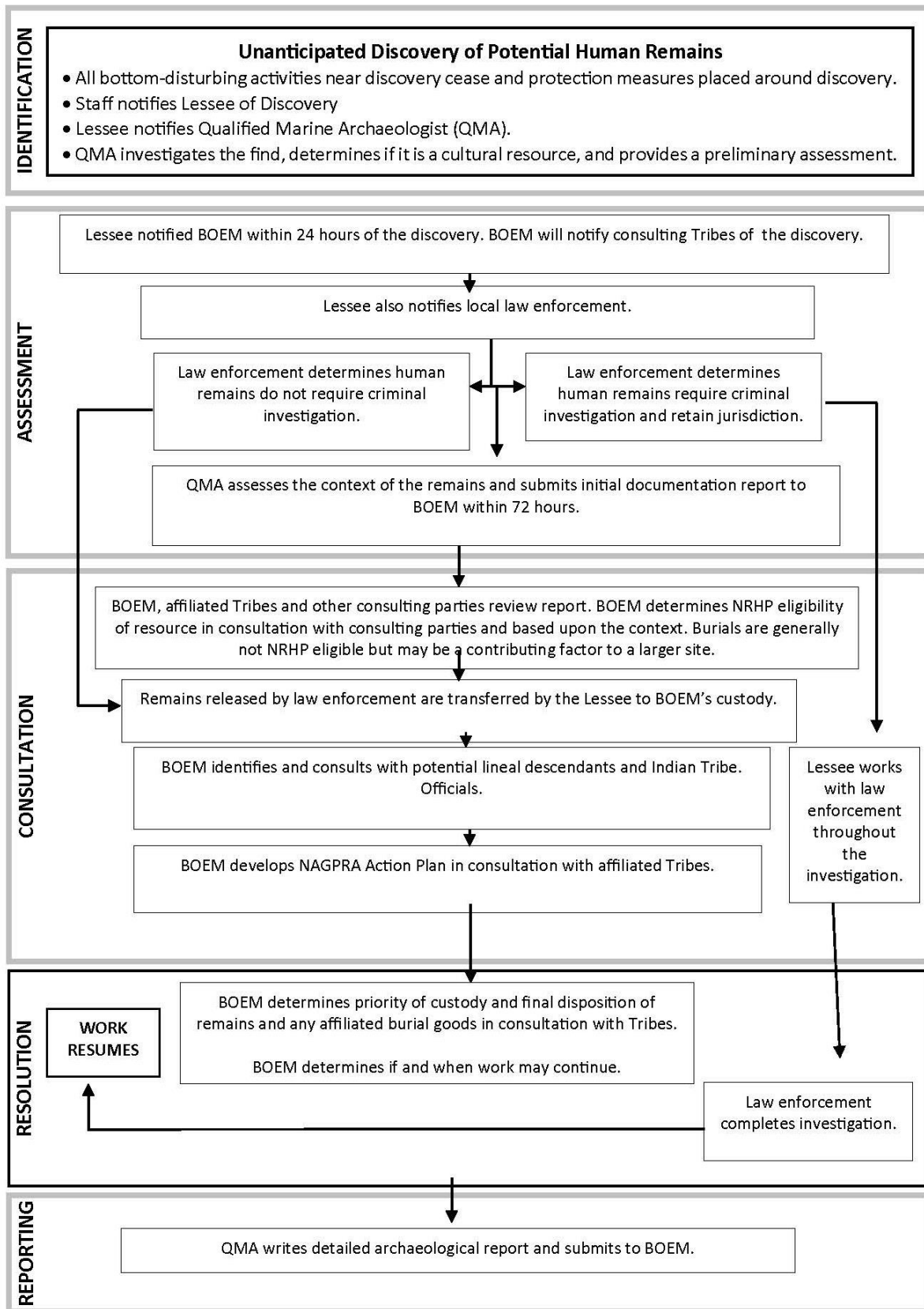


Figure A-2 Unanticipated Discovery Clause 7.5.2 Flowchart for Human Remains

**Table A-7** contains contact information for individuals representing the state and federal agencies and Lessees, participating in the Unanticipated Discovery Plan.

**Table A-7 Unanticipated Discovery Plan Contact Information**

Organization	Contact	Role	Phone	Email
Bureau of Ocean Energy Management	Deanna Meier	Renewable Energy Specialist	(619) 993-2045	<a href="mailto:deanna.meier@boem.gov">deanna.meier@boem.gov</a>
Bureau of Ocean Energy Management	Bert Ho	Pacific Region Federal Preservation Officer	(820) 222-6174	<a href="mailto:bert.ho@boem.gov">bert.ho@boem.gov</a>
Bureau of Safety and Environmental Enforcement	Bobby Kurtz	Pacific OCS Region	(805) 384-6358	<a href="mailto:bobby.kurtz@bsee.gov">bobby.kurtz@bsee.gov</a>
Bureau of Safety and Environmental Enforcement	John Bain	Pacific OCS Region	(805) 384-6376	<a href="mailto:john.bain@bsee.gov">john.bain@bsee.gov</a>
Bureau of Safety and Environmental Enforcement	Nathan Sinkula	Pacific OCS Region	(805) 384-6366	<a href="mailto:nathan.sinkula@bsee.gov">nathan.sinkula@bsee.gov</a>
California State Historic Preservation Officer	Jeff Brooke	Associate State Archaeologist	(916) 445-7003	<a href="mailto:jeff.brooke@parks.ca.gov">jeff.brooke@parks.ca.gov</a>
<b>Atlas Wind</b>				
Atlas Offshore Wind LLC	Patrick Tennant	Director of Permitting	(714) 873-0953	<a href="mailto:pten@equinor.com">pten@equinor.com</a>
Atlas Offshore Wind LLC	Julia Lewis	Head of Permitting - Americas	(617) 217-1430	<a href="mailto:julew@equinor.com">julew@equinor.com</a>
SEARCH	Neil Puckett	Qualified Marine Archaeologist	(407) 236-7711	<a href="mailto:neil.puckett@searchinc.com">neil.puckett@searchinc.com</a>
Atlas Offshore Wind LLC	Joshua Standing Horse	Tribal Liaison	(916) 213-9146	<a href="mailto:jossta@equinor.com">jossta@equinor.com</a>
<b>Golden State Wind</b>				
Golden State Wind LLC	Mark Roll	Permitting Senior Manager	(619) 459-6552	<a href="mailto:mark.roll@oceanwinds.com">mark.roll@oceanwinds.com</a>
Golden State Wind LLC	Silvia Yanez	Permitting Manager	(415) 310-4129	<a href="mailto:silvia.yanez@oceanwinds.com">silvia.yanez@oceanwinds.com</a>
SEARCH	Neil Puckett	Qualified Marine Archaeologist	(407) 236-7711	<a href="mailto:neil.puckett@searchinc.com">neil.puckett@searchinc.com</a>
ASM Affiliates	Brian Williams	Tribal Liaison	(760) 212-6499	<a href="mailto:bwilliams@asmaffiliates.com">bwilliams@asmaffiliates.com</a>
<b>Even Keel Wind</b>				
Invenergy	Kristen Hislop	Senior Manager	(708) 564-4285	<a href="mailto:khislop@invenergy.com">khislop@invenergy.com</a>
Invenergy	Shannon Stewart	Vice President	(312) 761-9089	<a href="mailto:ss Stewart@invenergy.com">sstewart@invenergy.com</a>
SEARCH	Jeff Enright	Qualified Marine Archaeologist	(407) 236-7711	<a href="mailto:jeff@searchinc.com">jeff@searchinc.com</a>
ASM Affiliates	Dustin Merrick	Tribal Liaison	(661) 833-7690	<a href="mailto:mailto:derrick@rinconcounselants.com">mailto:derrick@rinconcounselants.com</a> <a href="mailto:derrick@asmaffiliates.com">derrick@asmaffiliates.com</a>



### **A.3 BOEM Native American Graves Protection and Repatriation Act Statement**

BOEM is the federal agency with jurisdiction and regulatory responsibility for renewable energy projects on the OCS and is thus the relevant Federal authority for compliance with the Native American Graves Protection and Repatriation Act (NAGPRA) of 1990 (25 United States Code 3001-3013). If renewable energy project activities on the OCS identify suspected or known human remains, funerary objects or sacred objects of cultural patrimony as defined at 43 CFR § 10.2(d), which could be associated with Native American peoples then specific steps are required. The Lessee is responsible for stopping work in the vicinity of the find, making reasonable efforts to protect the find, and informing BOEM of the discovery as required by 43 CFR § 10.4(b). The Lessee will leave finds in situ until BOEM can develop a plan of action in consultation with affiliated Tribes. If NAGPRA covered remains or objects are identified because of lessee activities, BOEM will take immediate steps to consult with Tribal Nations and implement the provisions at 43 CFR Part 10.4.